

## UNITED STATES PATENT AND TRADERIARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/817,360	03/20/2001	1635	563	UCSF- 129CIP	20	27	5

Carol L. Francis BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200 Menlo Park, CA 94025



CONFIRMATION NO. 2345
UPDATED FILING RECEIPT
\*OC0000000006362529\*

Date Mailed: 07/31/2001

WAY

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

✓ Michael S. German, Daly City, CA;

Domestic Priority data as claimed by applicant CIP

THIS APPLICATION IS A CON OF 09/535,145 03/24/2000 AND CLAIMS BENEFIT OF 60/128,180 04/06/1999

PLEASE CORRECT

Foreign Applications

If Required, Foreign Filing License Granted 05/22/2001

Projected Publication Date: 11/08/2001 ~

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

✓ Production of pancreatic islet cells and delivery of insulin

**Preliminary Class** 

DOCKETED A

Data entry by : NGUYEN, NGAN

Team : OIPE

Date: 07/31/2001

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

, FIN Receipt

+ se type a plus sign (+) inside this box → PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/817.360 **Filing Date** March 20, 2001 TRANSMITTAL GERMAN, MICHAEL S. First Named Inventor **FORM** Group Art Unit 1635 WHITEMAN, BRIAN A. (to be used for all correspondence after initial filing) **Examiner Name** UCSF-129CIP Attorney Docket Number Total Number of Pages in This Submission ENCLOSURES (check all that apply) Fee Transmittal Form Assignment Papers After Allowance Communication (for an Application) to Group Fee Attached Appeal Communication to Board Drawing(s) of Appeals and Interferences Amendment / Reply Licensing-related Papers After Final Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Affidavits/declaration(s) Proprietary Information Petition to Convert to a Extension of Time Request **Provisional Application** Status Letter **Express Abandonment Request** Power of Attorney, Revocation Change of Correspondence X Other Enclosure(s) (please Information Disclosure Statement Address identify below): Terminal Disclaimer Certified Copy of Priority **Request for Corrected Filing Receipt Documents** Marked-up copy of Filing Receipt Request for Refund 2. Postcard Response to Missing Parts/ Incomplete Application CD, Number of CD(s Response to Missing Parts Remarks under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signing Attorney/Agent **JAMES S. KEDDIE, PH.D., 48,920** (Reg. No.) BOZICEVIC, FIELD & FRANCIS LET Signature Date June 30, 2004 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date | June 30, 2004

in an envelope addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 on this date: June 30, 2004.

Typed or printed name

Signature



#### CERTIFICATE OF MAILING

as is being democited with the United States Postel Service as first class mail in an envelone addressed to:

Typed or Printed Name	Susan M. Alessi					
Signature	Susan	aliosi	Date	June 30, 2004		
REQUE	ST FOR	Attorney Docket	UCSF-1	UCSF-129CIP		
CORRECTED FILING RECEIPT		Applicant	GERMAN, MICHAEL S.			
		Serial Number	09/817,360			
Address to: Commissioner for Pa	tents	Filing Date	March 20, 2001			
P.O. Box 1450	2 1450	Group Art Unit	1635	1635		
Alexandria, VA 2231	<b>3-</b> 1430.	Examiner Name	WHITE	WHITEMAN, BRIAN A.		

Sir:

A filing receipt for the above identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

Title:

Please correct the "Domestic Priority data as claimed by applicant" as (1) indicated on the marked-up copy of the incorrect Filing Receipt.

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

"PRODUCTION OF PANCREATIC ISLET CELLS

AND DELIVERY OF INSULIN"

Date: June 30, 2004

By:

es S. Keddie, Ph.D. Registration No. 48,920

**BOZICEVIC, FIELD & FRANCIS LLP** 200 Middlefield Road, Suite 200 Menlo Park, CA 94025

Telephone: (650) 327-3400 Facsimile: (650) 327-3231